

Securities and Exchange Commission

§ 270.30e-1

(J) In the case of a tax-exempt security, whether there is a demand feature, as defined in § 270.2a-7(a)(8).

(c) *Nonpublic information.* Information provided to the Commission pursuant to this section shall be nonpublic to the extent permitted by law.

(d) *Expiration.* This section will expire on September 17, 2010.

EFFECTIVE DATE NOTE: At 74 FR 48380, Sept. 23, 2009, § 270.30b1-6T was added, effective September 18, 2009 through September 17, 2010. At 75 FR 10117, Mar. 4, 2010, the effectiveness of § 270.30b1-6T was extended to December 1, 2010.

EFFECTIVE DATE NOTE: At 75 FR 10117, Mar. 4, 2010, § 270.30b1-6T was amended by revising paragraph (d), effective May 5, 2010. For the convenience of the user, the revised text is set forth as follows:

§ 270.30b1-6T Weekly portfolio report for certain money market funds.

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(d) *Expiration.* This section will expire on December 1, 2010.

§ 270.30b1-7 Monthly report for money market funds.

(a) *Report.* Every registered open-end management investment company, or series thereof, that is regulated as a money market fund under § 270.2a-7 must file with the Commission a monthly report of portfolio holdings on Form N-MFP (§ 274.201 of this chapter), current as of the last business day of the previous month, no later than the fifth business day of each month.

(b) *Public availability.* The Commission will make the information filed on Form N-MFP available to the public 60 days after the end of the month to which the information pertains.

[75 FR 10117, Mar. 4, 2010]

EFFECTIVE DATE NOTE: At 75 FR 10117, Mar. 4, 2010, § 270.30b1-7 was added, effective May 5, 2010.

§ 270.30b2-1 Filing of reports to stockholders.

(a) Every registered management investment company shall file a report on Form N-CSR (§§ 249.331 and 274.128 of this chapter) not later than 10 days after the transmission to stockholders of any report that is required to be

transmitted to stockholders under § 270.30e-1.

(b) A registered investment company shall file with the Commission a copy of every periodic or interim report or similar communication containing financial statements that is transmitted by or on behalf of such registered investment company to any class of such company's security holders and that is not required to be filed with the Commission under paragraph (a) of this section. The filing shall be made not later than 10 days after the transmission to security holders.

[68 FR 5366, Feb. 3, 2003]

§ 270.30d-1 Filing of copies of reports to shareholders.

A registered management investment company, other than a small business investment company registered on Form N-5 (§§ 239.24 and 274.5 of this chapter), that is required to file annual and quarterly reports pursuant to section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a) or 78o(d)) shall satisfy its requirement to file such reports by the filing, in accordance with the rules and procedures specified therefor, of reports on Form N-CSR (§§ 249.331 and 274.128 of this chapter) and Form N-Q (§§ 249.332 and 274.130 of this chapter). A registered unit investment trust or a small business investment company registered on Form N-5 that is required to file annual and quarterly reports pursuant to section 13(a) or 15(d) of the Securities Exchange Act of 1934 shall satisfy its requirement to file such reports by the filing, in accordance with the rules and procedures specified therefor, of reports on Form N-SAR (§§ 249.330 and 274.101 of this chapter).

[69 FR 11264, Mar. 9, 2004]

§ 270.30e-1 Reports to stockholders of management companies.

(a) Every registered management company shall transmit to each stockholder of record, at least semi-annually, a report containing the information required to be included in such reports by the company's registration statement form under the 1940 Act, except that the initial report of a newly registered company shall be made as of